IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

mn Dirst Named

ventor : Bruce F. Field

Appln. No. : 10/629,391

Filed : July 29, 2003

For : ELECTRIC HYBRID VEHICLE

Group Art Unit: 3618
Examiner: SHRIVER II,

JAMES A

Docket No.: A53.12-0006

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

I. INFORMATION FROM RELATED LITIGATION

The following materials are being submitted under M.P.E.P. \$2001.06(c) regarding related litigation:

- 1. Notice of Litigation
- 2. COMPLAINT;
- 3. PREMLIMINARY CLAIM CHARTS OF DEFENDANTS TOYOTA MOTOR CORPORATION AND TOYOTA MOTOR SALES, USA, INC.;
- 4. MEMORANDUM OF LAW IN SUPPORT OF TOYOTA'S UNOPPOSED MOTION FOR LEAVE TO FILE AMENDED ANSWER, AFFIRMATIVE DEFENSES AND COUNTERCLAIMS"; and
- 5. AMENDED ANSWER, AFFIRMATIVE DEFENSES AND COUNTERCLAIMS OF TOYOTA MOTOR CORPORATION AND TOYOTA MOTOR SALES, USA, INC.

Additional materials and pleadings are being collected and will be submitted accordingly.

II. JAPANESE TRANSLATION

A recently obtained full translation of JP Utility Model Publication No. 51-103220 is being submitted to supplement the partial translation submitted with the IDS filed July 29, 2003.

III. COPIES OF PRIOR OFFICE ACTIONS IN RELATED APPLICATIONS

In addition, the present application is a member of a family of cases that are related to one another through claims of priority. This relationship is listed in the first paragraph of the present application.

Although the Examiner has notice of and access to the file histories of all prior related applications, and it is assumed that the Examiner will review these file histories, the enclosed information is being submitted for the Examiner's convenience.

Specifically, copies of the following materials are enclosed:

- A. Office Actions issued in U.S. Appln. No. 07/880,967
 - 1. Restriction Requirement dated April 7, 1993;
 - 2. Office Action dated February 23, 1994; and
 - 3. Office Action dated September 16, 1994.
- B. Office Actions issued in U.S. Appln. No. 07/948,288
 - 1. Office Action dated June 14, 1993;
 - 2. Office Action dated February 23, 1994;
 - 3. Office Action dated October 17, 1994; and
 - 4. Advisory Action dated November 26, 1996.
- C. Office Actions issued in U.S. Appln. No. 08/705,001
 - 1. Office Action dated February 21, 1997;
 - 2. Office Action dated January 6, 1998;
 - 3. Office Action dated September 10, 1998;
 - 4. Office Action dated January 22, 1999;
 - 5. Office Action dated July 6, 1999; and
 - 6. Interview Summary dated October 4, 1999.
- D. Office Actions issued in U.S. Appln. No. 09/483,008
 - 1. Office Action dated May 11, 2000;
 - 2. Restriction Requirement dated January 3, 2001;
 - 3. Office Action dated May 7, 2001; and
 - 4. Office Action dated January 15, 2002.
- E. Office Action issued in U.S. Appln. No. 10/194,745
 - 1. Office Action dated January 13, 2003.

Applicants are also willing to submit any other information or paper contained in the prior application files if requested by the Examiner. However, it is assumed that these additional materials can be obtained directly from the files themselves.

TIME OF FILING

The information disclosure statement is being filed:

- 1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
- 2. ____ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. a statement as specified in 37 C.F.R. § 1.97(e).
- B. ___ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
- after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
 - A. a statement as specified in 37 C.F.R. § 1.97(e);
 - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked) The person(s) signing below certify

(check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application knowledge of the person signing to the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. C.F.R. § 1.97(e)(2).

METHOD OF PAYMENT

X	No f	ee :	is	required.							
I	- Attached	lis	a	check	in	the	amount	of	\$		

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

> Respectfully submitted, WESTMAN, CHAMPLIN & KELLY, P.A.

By:

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DDB:tkj

Sheet 1 of 1

FORM PTO-1449

Atty. Docket No.: **A53.12-0006**

Appl. No.: 10/629,391

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

First Named Inventor:

Bruce F. Field Filing Date Group Art: July 29, 2003

U.S. PATENT DOCUMENTS

Document No.	Da	ate	Name			lass	Sub Class	Filing Date If Appropriate	
3,732,751 03/02/1971		2/1971 Be	Berman et al.			74	675		
4,646,896	03/03	3/1987 Ha	Hammond et al.			177	176		
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		FOREIGN	I PAT	ENT DOCUMENTS	<u> </u>				
Document No. 51-103220		Date 08/18/1976		Country		Class	Sub Class	Translation Yes No	
				Japan				(Full)	
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OTHER ART	(Includ	ling Author	, Ti	tle, Date, Pert	inen	t Page	s, Etc.)		
		, <u>Electric</u>	: Veh	icle Technology	, pp	. vii-	x and 1-2	55, John Wiley	
J. Gumbleton et al., Special Purpose Urban Cars, 690461 Soc: Automotive Eng., pp 1-30 (May 19-23, 1969)									
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	No. 3,732,751 4,646,896 Document 51-103220 OTHER ART 1. Unnewehr & Sons (1982 J. Gumbletor 1-30 (May 19	No. Da 3,732,751 03/02 4,646,896 03/03 Document No. 51-103220 OTHER ART (Include Sons (1982) J. Gumbleton et al 1-30 (May 19-23, 1	No. Date 3,732,751 03/02/1971 Be 4,646,896 03/03/1987 Ha FOREIGN Document No. Date 51-103220 08/18/197 OTHER ART (Including Author 1. Unnewehr et al., Electric & Sons (1982) J. Gumbleton et al., Special 1-30 (May 19-23, 1969)	No. Date 3,732,751 03/02/1971 Berman 4,646,896 03/03/1987 Hammon FOREIGN PAT Document No. Date 51-103220 08/18/1976 OTHER ART (Including Author, Ti 1. Unnewehr et al., Electric Veh & Sons (1982) J. Gumbleton et al., Special Pur 1-30 (May 19-23, 1969)	No. Date Name 3,732,751 03/02/1971 Berman et al. 4,646,896 03/03/1987 Hammond et al. FOREIGN PATENT DOCUMENTS Document No. Date Country 51-103220 08/18/1976 Japan OTHER ART (Including Author, Title, Date, Pert l. Unnewehr et al., Electric Vehicle Technology & Sons (1982) J. Gumbleton et al., Special Purpose Urban Cars 1-30 (May 19-23, 1969)	No. Date Name C: 3,732,751 03/02/1971 Berman et al. 4,646,896 03/03/1987 Hammond et al. FOREIGN PATENT DOCUMENTS Document No. Date Country 51-103220 08/18/1976 Japan OTHER ART (Including Author, Title, Date, Pertinen l. Unnewehr et al., Electric Vehicle Technology, pp & Sons (1982) J. Gumbleton et al., Special Purpose Urban Cars, 69 1-30 (May 19-23, 1969)	No. Date Name Class 3,732,751 03/02/1971 Berman et al. 74 4,646,896 03/03/1987 Hammond et al. 477	No. Date Name Class Class 3,732,751 03/02/1971 Berman et al. 74 675 4,646,896 03/03/1987 Hammond et al. 477 176 FOREIGN PATENT DOCUMENTS Document No. Date Country Class Class 51-103220 08/18/1976 Japan OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) 1. Unnewehr et al., Electric Vehicle Technology, pp. vii-x and 1-2 6 Sons (1982) J. Gumbleton et al., Special Purpose Urban Cars, 690461 Soc: Autom 1-30 (May 19-23, 1969)	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.